

This brochure supplement provides information about William Anthony Phillips that supplements the Phillips Financial Strategies LLC brochure. You should have received a copy of that brochure. Please contact William Anthony Phillips if you did not receive Phillips Financial Strategies LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about William Anthony Phillips is also available on the SEC's website at www.adviserinfo.sec.gov.

Phillips Financial Strategies LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

William Anthony Phillips

Personal CRD Number: 5498335

Investment Adviser Representative

Phillips Financial Strategies LLC
700 Pennsylvania Ave SE, Ste 200
Washington, DC 20003
(202) 550-4107
Office: (202) 681-1161
william@phillipsfinancialstrategies.com

UPDATED: 03/11/2024

Item 2: Educational Background and Business Experience

Name: William Anthony Phillips **Born:** 1978

Educational Background and Professional Designations:

Education:

Master of Business Administration Business, George Washington University - 2007

Bachelor of Fine Arts Photography, University of the South – 2000

Designations:

CPFA - Certified Plan Fiduciary Advisor

- The CPFA coursework covers four key areas: ERISA Fiduciary Management, ERISA Plan Management Part I, ERISA Plan Management Part 2, and ERISA Investment Management.
- Advisors obtain the CPFA by passing the CPFA examination, which consists of 75 multiple-choice questions.
 - The proctored exam is delivered at Prometric testing centers nationwide.
 - Candidates have three hours to complete the exam and receive immediate notification of their grade.
- Additional information can be found at <https://napacpfa.org/>

AIF® - Accredited Investment Fiduciary®

- The AIF designation, awarded by the Center for Fiduciary Studies, an fi360 company, demonstrates the focus on all the components of a comprehensive investment process, related fiduciary standards of care, and commitment to excellence.
- AIF designees must complete 6 steps to earn the designation: 1. submit registration and fee; 2. successfully complete a specialized program on investment fiduciary standards of care; 3. pass a comprehensive examination; 4. upon passing, submit the accreditation application and fee; 5. complete annual continuing educational requirements; 6. pledge to abide by the designation's code of ethics.

Business Background:

09/2014 - Present	President & Chief Compliance Officer Phillips Financial Strategies LLC
09/2014 - Present	Registered Representative Cambridge Investment Research, Inc.
09/2014 – 12/2019	Investment Adviser Representative Cambridge Investment Research Advisors, Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of William Anthony Phillips.

Item 4: Other Business Activities

William Anthony Phillips is a registered representative of Cambridge Investment Research, Inc. (CRD# 39543). From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. PFS always acts in the best interest of the client, including with respect to the sale of commissionable products to advisory clients. Clients always have the right to decide whether or not to utilize the services of any PFS representative in such individual's outside capacities.

William Anthony Phillips is a licensed insurance agent with Phillips Financial Strategies LLC, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. PFS always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of PFS in connection with such individual's activities outside of PFS.

Phillips Financial Strategies LLC was not officially organized until September 2014.

Item 5: Additional Compensation

William Anthony Phillips does not receive any economic benefit from any person, company, or organization, other than Phillips Financial Strategies LLC in exchange for providing clients advisory services through Phillips Financial Strategies LLC.

Item 6: Supervision

As the Chief Compliance Officer of Phillips Financial Strategies LLC, William Anthony Phillips supervises all activities of the firm. William Anthony Phillips's contact information is on the cover page of this disclosure document. William Anthony Phillips adheres to applicable regulatory

requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. William Anthony Phillips has not been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. William Anthony Phillips has not been the subject of a bankruptcy petition.