WEALTHSCAPE INVESTOR REGISTRATION GUIDE

Below are the instructions an investor with brokerage accounts held with National Financial Services, LLC (NFS) should follow to register and setup online access, as well as set up electronic delivery preferences.

Who can register on Wealthscape Investor™? If there is a natural person as an account holder with the following information on file:

- Full name
- Date of birth (DOB)
- Social Security Number (SSN)/Taxpayer Identification Number (TIN)

Eligible registration types include, but are not limited to:

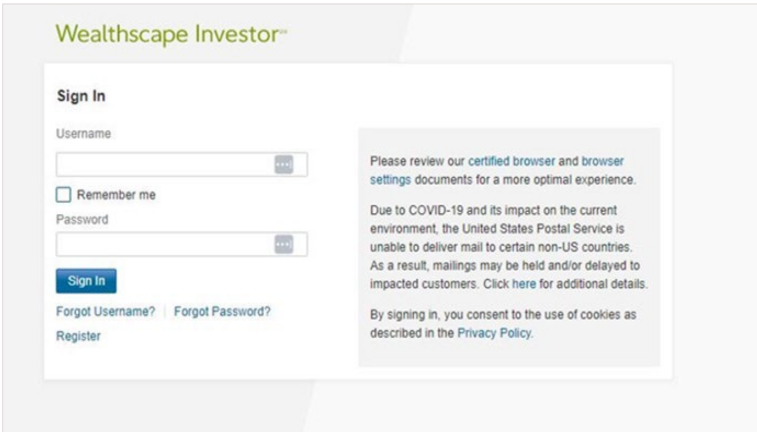
- I/TODI – Individual/Individual Transfer on Death
- J/JTOD – Joint/Joint with Rights of Survivorship Transfer of Death
- IRA – Traditional IRA
- Roth – Roth IRA
- IRRL – Rollover IRA

Ineligible registration types include, but are not limited to:

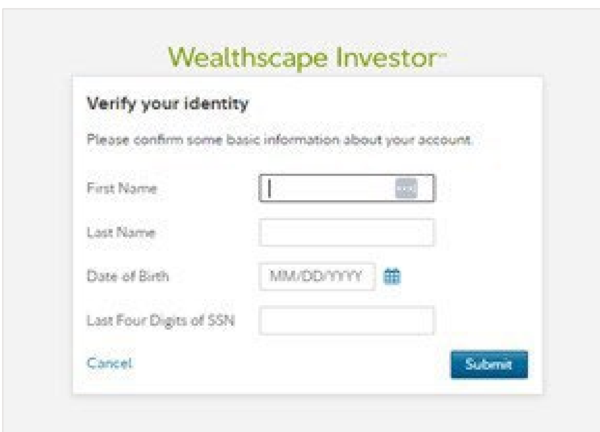
- TRUA – Trust Under Agreement
- TRUW – Trust Under Will
- CP – Corporation
- SMPL – Simple IRA
- KPS – Profit Sharing Keogh

For a full list of eligible and ineligible registration types or assistance with registering, please call Cambridge Investment Research, Inc. at 888-245-0452.

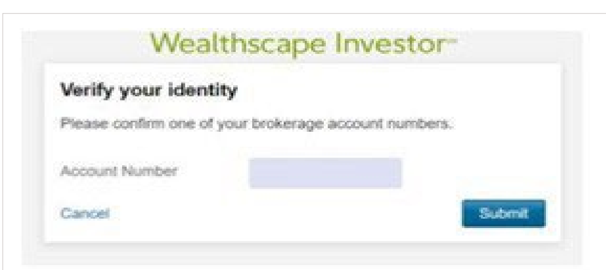
1. Visit www.wealthscapeinvestor.com and select **Register**.



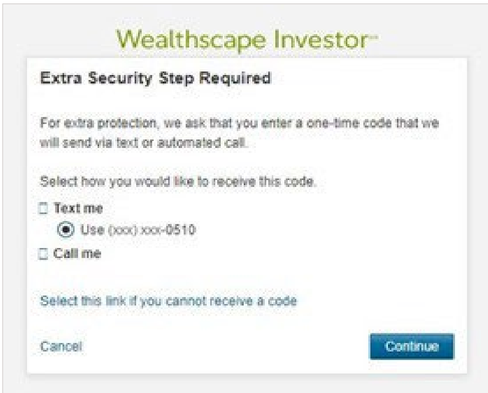
2. Complete all fields under Verify your identity and select **Submit.**



3. Enter the nine-character NFS brokerage account number and select **Submit**. Note: This will only be asked for investors that have accounts with NFS at multiplebroker-dealers.



4. Select **Textme** or **Call me > Continue**. The options are the daytime/evening phone number(s) associated with the brokerage account. Note: If a phone number is notassociatedwith the account, the investor will not be able to register and must contact their financial professional to have the phone number on the account updated.

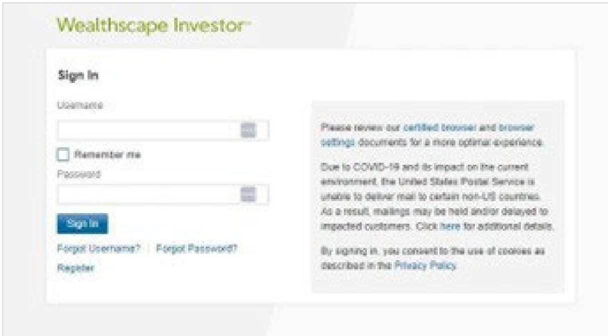


5. Enter the Security Code that was received and select **Submit.**

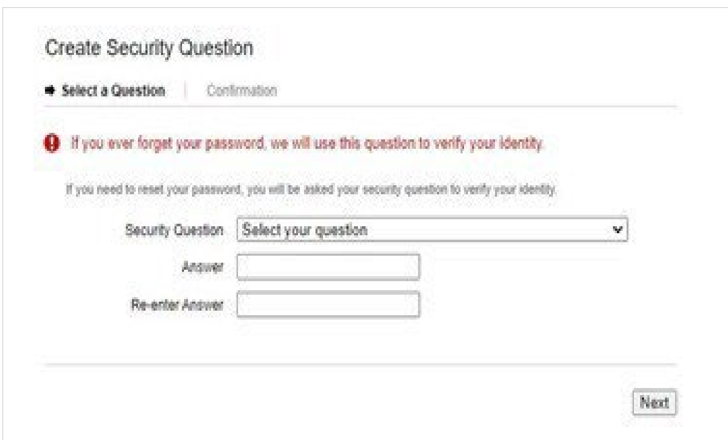
6. Create a Username and Password by completing the required fields. Select **Submit**.
   Note: refer to guidelines when creating a username andpassword.

7. The following confirmation screen will appear once completed. The default Investor ID is only needed if a custom username was not created in the last step. To log intoWealthscape Investor select **Return to Login Page.**

8. Enter Username and Password, then select **Sign In**.



9. Select and answer a security question. Select **Next**.



10. Select **Continue to home page.**

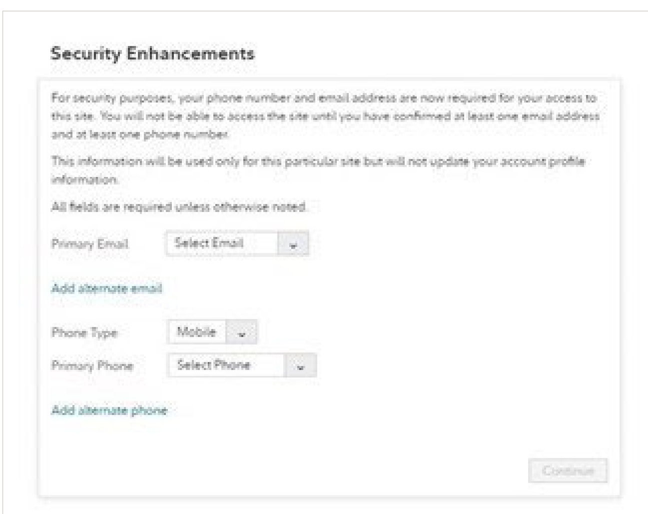
11. Read through User Agreement. Check I have read and accept the terms and select **Next**.

12. Setup Security Contact Information.

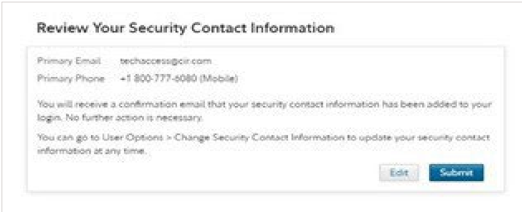
To enhance and strengthen platform security, Wealthscape Investor utilizes 2-factor authentication (2FA) using a phone number to deliver one-time PINs to confirm an investor's identity. Upon successful sign in to Wealthscape Investor, investors must provide secure contact information by entering a valid email address and valid phone number.

- Email addresses entered for security contact information do not replace email addresses already on file for an account, such as the email used for electronicdelivery
- Valid phone numbers include domestic U.S. and international numbers
- After entering at least one email address and phone number, you will not be prompted for this information again.

13. Select primary email and phone then select **Continue.**

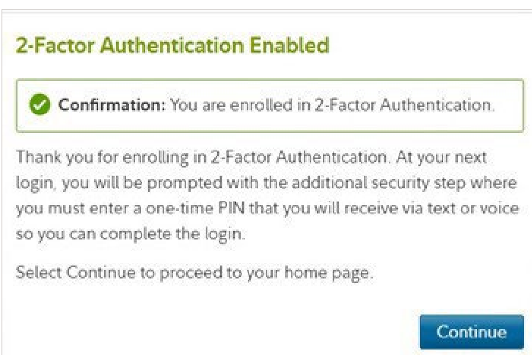


14. Review information and select **Submit** if everything appears correct or **Edit** if changes are needed.



15. Upon logging into Wealthscape Investor, a mandatory 2FA screen will appear. Select **Continue** to acknowledge the 2FA process will occur on next login.

16. The following confirmation will appear. Select **Continue**.



17. The following screen will enable electronic delivery. Select **Enroll Now** to opt into electronic delivery.

18. Choose the documents to setup for electronic deliver per account and select **Save This Account**.