

## WEALTHSCAPE INVESTOR REGISTRATION GUIDE

Below are the instructions an investor with brokerage accounts held with National Financial Services, LLC (NFS) should follow to register and setup online access, as well as set up electronic delivery preferences.

Who can register on Wealthscape Investor™? If there is a natural person as an account holder with the following information on file:

- Full name
- Date of birth (DOB)
- Social Security Number (SSN)/Taxpayer Identification Number (TIN)

Eligible registration types include, but are not limited to:

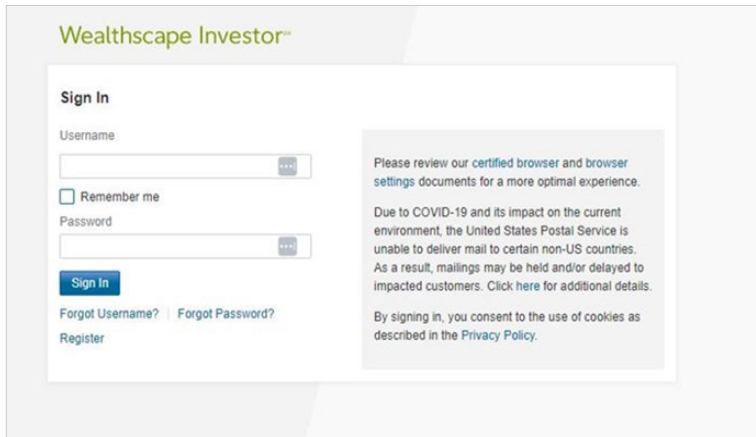
- I/TODI – Individual/Individual Transfer on Death
- J/JTOD – Joint/Joint with Rights of Survivorship Transfer of Death
- IRA – Traditional IRA
- Roth – Roth IRA
- IRRL – Rollover IRA

Ineligible registration types include, but are not limited to:

- TRUA – Trust Under Agreement
- TRUW – Trust Under Will
- CP – Corporation
- SMPL – Simple IRA
- KPS – Profit Sharing Keogh

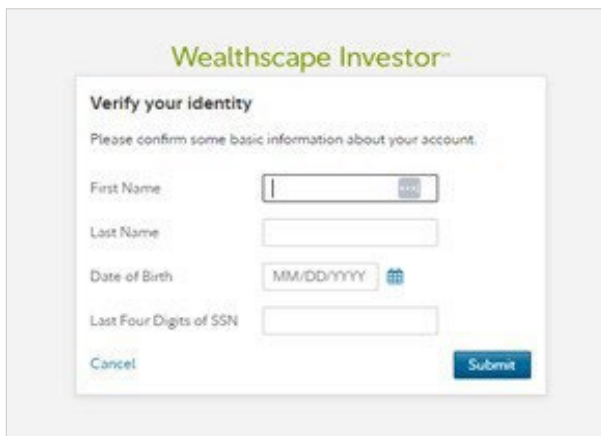
For a full list of eligible and ineligible registration types or assistance with registering, please call Cambridge Investment Research, Inc. at 888-245-0452.

1. Visit [www.wealthscapecinvestor.com](http://www.wealthscapecinvestor.com) and select **Register**.



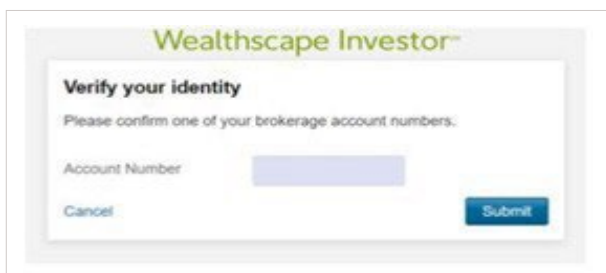
The image shows the 'Sign In' page of the Wealthscape Investor portal. The page features a 'Sign In' heading, a 'Remember me' checkbox, and input fields for 'Username' and 'Password'. A blue 'Sign In' button is located below the password field. To the right of the form, there is a notice about browser settings and a COVID-19 related message from the United States Postal Service. At the bottom left, there are links for 'Forgot Username?', 'Forgot Password?', and 'Register'.

2. Complete all fields under Verify your identity and select **Submit**.



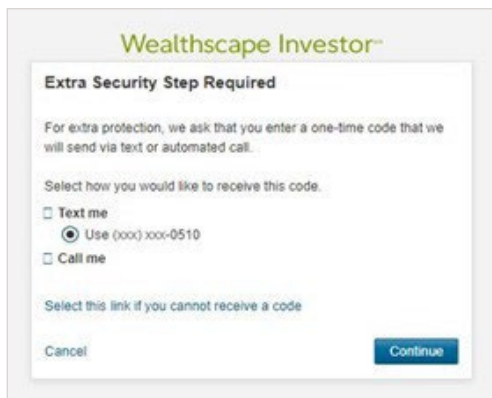
The image shows the 'Verify your identity' page of the Wealthscape Investor portal. The page has a heading 'Verify your identity' and a sub-heading 'Please confirm some basic information about your account.' Below this, there are four input fields: 'First Name', 'Last Name', 'Date of Birth' (with a calendar icon and MM/DD/YYYY format), and 'Last Four Digits of SSN'. At the bottom left is a 'Cancel' link and at the bottom right is a blue 'Submit' button.

3. Enter the nine-character NFS brokerage account number and select **Submit**. Note: This will only be asked for investors that have accounts with NFS at multiple broker-dealers.



The image shows a second 'Verify your identity' page from the Wealthscape Investor portal. This page has a heading 'Verify your identity' and a sub-heading 'Please confirm one of your brokerage account numbers.' There is a single input field labeled 'Account Number'. At the bottom left is a 'Cancel' link and at the bottom right is a blue 'Submit' button.

4. Select **Textme** or **Call me > Continue**. The options are the daytime/evening phone number(s) associated with the brokerage account. Note: If a phone number is not associated with the account, the investor will not be able to register and must contact their financial professional to have the phone number on the account updated.




The screenshot shows a mobile application interface for 'Wealthscape Investor'. The title is 'Extra Security Step Required'. Below the title, it says: 'For extra protection, we ask that you enter a one-time code that we will send via text or automated call.' Then, it asks to 'Select how you would like to receive this code.' There are three radio button options: 'Text me' (unchecked), 'Use (xxx) xxx-0510' (checked), and 'Call me' (unchecked). Below this, it says 'Select this link if you cannot receive a code'. At the bottom, there are 'Cancel' and 'Continue' buttons.

5. Enter the Security Code that was received and select **Submit**.



The screenshot shows a mobile application interface for 'Wealthscape Investor'. The title is 'We sent you a text message'. Below the title, it says: 'Look for the security code we just sent you to (xxx) xxx-0510 and enter it below.' There is a text input field labeled 'Security Code'. Below the input field, it says 'Code expires in 30 minutes...' and 'Didn't get the code? Request another one.' At the bottom, there are 'Cancel' and 'Submit' buttons.

6. Create a Username and Password by completing the required fields. Select **Submit**.  
Note: refer to guidelines when creating a username and password.



The image shows a web form titled "Wealthscape Investor" with the sub-heading "Create Login Information". Below the title, it states "All fields are required unless otherwise noted." The form is divided into two main sections: "Create a Username" and "Create a Password".

**Create a Username**  
New Username (optional) [input field]  
Guidelines:  
- Use 9 to 15 letters and/or numbers  
- At least one letter  
- No symbols, punctuation marks, or spaces (e.g. #@\*.-.)  
- No sequences (e.g. 12345 or 11111)  
A link "See more guidelines" is provided.

**Create a Password**  
Create a new password [input field]  
Password strength: **Weak**  
Letters are case-sensitive.  
Guidelines:  
- 6 - 20 characters  
- Upper and lower case letters  
- At least one number  
- Special characters except for #&\*-<>[]  
Confirm your password [input field]

Buttons: "Cancel" and "Submit".

7. The following confirmation screen will appear once completed. The default Investor ID is only needed if a custom username was not created in the last step. To log into Wealthscape Investor select **Return to Login Page**.



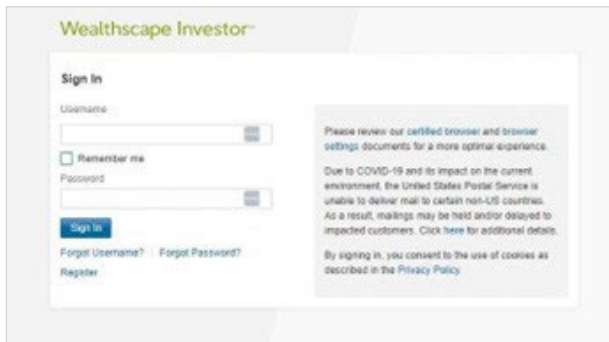
The image shows a confirmation screen titled "Wealthscape Investor" with the sub-heading "Registration Successful". It instructs the user to "Use your Username when logging in. Make a note of your Investor ID for future reference."

**Username**  
Oliver143 [Copy]

**Investor ID**  
6906319500 [Copy]

Button: "Return to Login Page"

8. Enter Username and Password, then select **Sign In**.



The image shows the 'Wealthscape Investor' sign-in page. It features a 'Sign In' section with a 'Username' field, a 'Remember me' checkbox, and a 'Password' field. A blue 'Sign In' button is located below the password field. To the right of the form is a grey informational box with text about browser settings and COVID-19 mail delivery issues. At the bottom left of the form are links for 'Forgot Username?', 'Forgot Password?', and 'Register'.

9. Select and answer a security question. Select **Next**.



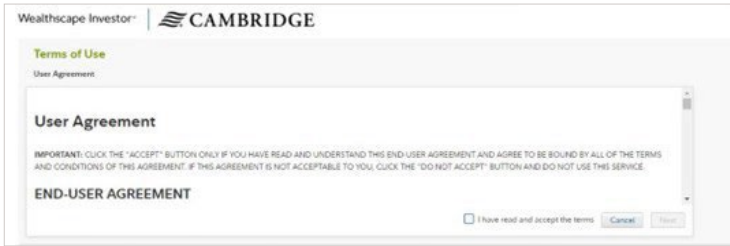
The image shows the 'Create Security Question' form. It has two progress indicators: 'Select a Question' (active) and 'Confirmation'. A red warning icon and text state: 'If you ever forget your password, we will use this question to verify your identity.' Below this, it says 'If you need to reset your password, you will be asked your security question to verify your identity.' The form includes a 'Security Question' dropdown menu with 'Select your question' as the selected option, an 'Answer' text input field, and a 'Re-enter Answer' text input field. A 'Next' button is located at the bottom right.

10. Select **Continue to home page**.



The image shows the confirmation screen for the security question. It has two progress indicators: 'Enter Secure Question' (checked) and 'Confirmation' (active). The text reads: 'You have successfully created your Security Question'. At the bottom center, there is a blue button labeled 'Continue to home page'.

11. Read through User Agreement. Check I have read and accept the terms and select **Next**.

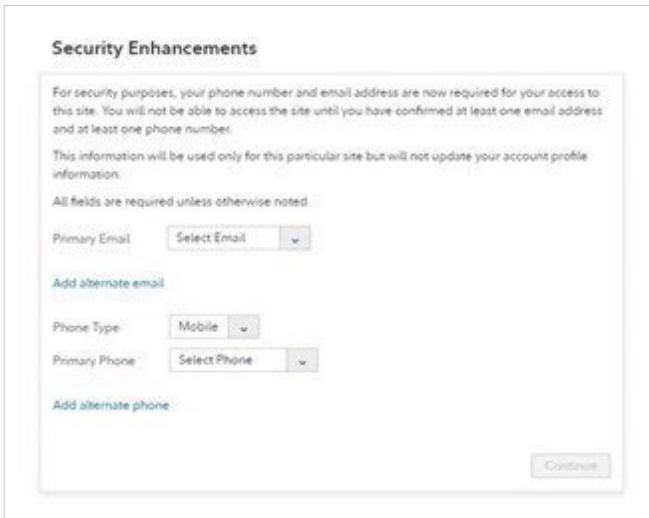


12. Setup Security Contact Information.

To enhance and strengthen platform security, Wealthscape Investor utilizes 2-factor authentication (2FA) using a phone number to deliver one-time PINs to confirm an investor’s identity. Upon successful sign in to Wealthscape Investor, investors must provide secure contact information by entering a valid email address and valid phone number.

- Email addresses entered for security contact information do not replace email addresses already on file for an account, such as the email used for electronicdelivery
- Valid phone numbers include domestic U.S. and international numbers
- After entering at least one email address and phone number, you will not be prompted for this information again.

13. Select primary email and phone then select **Continue**.



14. Review information and select **Submit** if everything appears correct or **Edit** if changes are needed.

**Review Your Security Contact Information**

Primary Email: techaccess@jcor.com  
Primary Phone: +1 800-777-6080 (Mobile)

You will receive a confirmation email that your security contact information has been added to your login. No further action is necessary.

You can go to User Options > Change Security Contact Information to update your security contact information at any time.

[Edit](#) [Submit](#)

15. Upon logging into Wealthscape Investor, a mandatory 2FA screen will appear. Select **Continue** to acknowledge the 2FA process will occur on next login.

**2-Factor Authentication Enrollment**

**Note:** You must enroll in 2-Factor Authentication to continue.

In order to enhance security, you are required to enroll in 2-Factor Authentication. Please select continue to complete the one-time enrollment process.

For additional security, you may be asked to enter a one-time PIN using the phone number as a security contact.

[Continue](#)

16. The following confirmation will appear. Select **Continue**.

**2-Factor Authentication Enabled**

**Confirmation:** You are enrolled in 2-Factor Authentication.

Thank you for enrolling in 2-Factor Authentication. At your next login, you will be prompted with the additional security step where you must enter a one-time PIN that you will receive via text or voice so you can complete the login.

Select Continue to proceed to your home page.

[Continue](#)

17. The following screen will enable electronic delivery. Select **Enroll Now** to opt into electronic delivery.

18. Choose the documents to setup for electronic deliver per account and select **Save This Account**.

Document Delivery Instructions - Google Chrome  
mystreetscape.com/iss/accounts/profilesummary/my/access/enotification/enotification.do

**Document Delivery Instructions** \*Indicates required field

Rather than sending paper-based mail, we will send you an email alert when your financial documents are available to view online.

[Enroll All Accounts](#)

Select and save each account separately

Individual (I) - BDF Not Enrolled

Email Address\* No email address on file  
[Add Email](#)

Document Delivery Instructions	<input type="checkbox"/> Set all documents to electronic delivery
Confirms/Confirming Prospectuses	<input type="radio"/> Electronic Delivery <input checked="" type="radio"/> U.S. Mail
Statements & Regulatory Inserts	<input type="radio"/> Electronic Delivery <input checked="" type="radio"/> U.S. Mail
Eligible Customer Correspondence	<input type="radio"/> Electronic Delivery <input checked="" type="radio"/> U.S. Mail
Shareholder Reports (including Prospectuses) & Other Documents	<input type="radio"/> Electronic Delivery <input checked="" type="radio"/> U.S. Mail
Tax Forms & Related Disclosures	<input type="radio"/> Electronic Delivery <input checked="" type="radio"/> U.S. Mail

Selecting either option above will still allow you to access your documents online.

[Close](#) [Save This Account](#)

